

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q76309

Takashi IMANISHI, et al.

Appln. No.: not yet assigned

Confirmation No.: not yet assigned

Group Art Unit: not yet assigned

Filed: June 27, 2003

Examiner: not yet assigned

For: TOROIDAL-TYPE CONTINUOUSLY VARIABLE TRANSMISSION

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

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In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

**USP 5,099,710 corresponds to JP No. 2734583 and cited and discussed on page 1 of the above and identified specification.**

**USP 5,286,240 corresponds to JP-A- No. 5-39850 and cited and discussed on page 1 of the above and identified specification.**

**USP 5,830,103 corresponds to JP-A- No. 9-317838.**

**JP-A- No. 11-51141 and JP-A-No. 11-210855 are cited and discussed on page 2 of the above and identified specification.**

**English language abstracts, submitted herewith constitute a concise statement of relevance for JP-A- Nos. 8-291850, 2000-346159, 10-132045 and 11-182647.**

**JP-A- 2001-132808 corresponds to USSN no. 09/695,906 and cited and discussed in the specification.**

**English language abstracts submitted herewith constitute a concise statement of relevance for JP Nos. 2629786 and 3376910.**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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DM/dmg  
Date: June 27, 2003

<p>Substitute for Form 1449 A &amp; B/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<i>Complete if Known</i>	
				Application Number	Not yet assigned
				Confirmation Number	Not yet assigned
				Filing Date	June 27, 2003
				First Named Inventor	Takashi IMANISHI
				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	Q76309

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,099,710		3/31/1992	Nakano
		US 5,286,240		2/15/1994	Kobayashi
		US 5,830,103		11/3/1998	Itoh et al.
		US			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		
		JP	2734583		1/9/1998	
		JP	5-39850		2/19/1993	
		JP	9-317838		12/12/1997	
		JP	11051141		2/23/1999	Abstract
		JP	11210855		11-210855	Abstract
		JP	8-291850		11/5/1996	Abstract
		JP	2000-346159		12/12/2000	Abstract
		JP	2001-132808		5/18/2001	Abstract
		JP	10-132045		5/22/1998	Abstract
		JP	11-182647		7/6/1999	Abstract
		JP	3376910		12/6/2002	Abstract
		JP	2629786		4/18/1997	Abstract

OTHER ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			Translation <sup>6</sup>
		09/695,906			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.